

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. WLJ.072
In Re Application Of: <b>Katherine Giles et al.</b>			
Serial No. 09/763,641 ✓	Filing Date 03/29/2001	Examiner G. GHYKA	Group Art Unit 2812
Title: <b>METHOD AND APPARATUS FOR FORMING A FILM ON A SUBSTRATE</b>			
<p style="text-align: center;">Address to:  <b>Assistant Commissioner for Patents</b>  <b>Washington, D.C. 20231</b></p> <p style="text-align: center;"><b>37 CFR 1.97(b)</b></p> <p>1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;"><b>37 CFR 1.97(c)</b></p> <p>2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <div style="display: flex; justify-content: space-between; align-items: flex-start;"> <div style="width: 60%;"> <p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center;"><b>OR</b></p> <p><input checked="" type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p> </div> <div style="width: 35%; text-align: center;"> <p style="writing-mode: vertical-rl; transform: rotate(180deg);"> <b>RECEIVED</b>  <b>APR - 4 2002</b>  <b>2800 MAIL ROOM</b> </p> </div> </div> <p>3. <input checked="" type="checkbox"/> The Examiner's attention is also directed to the following related applications:</p> <div style="margin-left: 40px;"> <p>(1) Serial No. 09/914,204, filed August 23, 2001, and entitled "Method And Apparatus For Forming A Film On A Substrate"</p> <p>(2) Serial No. 09/942,933, filed August 31, 2001, and entitled "Dielectric Layer For A Semiconductor Device And Method Of Producing The Same"</p> </div>			

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**METHOD AND APPARATUS FOR FORMING A FILM ON A SUBSTRATE****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of **\$180.00** is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0238 as described below. A duplicate copy of this sheet is enclosed.
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I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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